# (19) World Intellectual Property Organization International Bureau



# 

# (43) International Publication Date 10 January 2002 (10.01.2002)

### PCT

# (10) International Publication Number WO 02/002638 A3

(51) International Patent Classification<sup>7</sup>: 45/06, A61P 19/02, 37/04

A61K 38/17,

(21) International Application Number: PCT/US01/21204

(22) International Filing Date:

2 July 2001 (02.07.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/215,913

3 July 2000 (03.07.2000) US

- (71) Applicant (for all designated States except US): BRIS-TOL-MYERS SQUIBB COMPANY [US/US]; P.O. Box 4000, Lawrenceville-Princeton Road, Princeton, NJ 08543 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): COHEN, Robert [US/US]; 120 Meadowview Drive, Newton, PA 18940 (US). CARR, Suzette [US/US]; 579 Province Line Road, Hopewell, NJ 08525 (US). HAGERTY, David [US/US]; 3 Nobadeer Drive, Pennington, NJ 08534 (US). PEACH, Robert, J. [NZ/US]; 12848 Via Caballo Rojo, San Diego, CA 92129 (US). BECKER, Jean-Claude [FR/US]; 16 Halstead Place, Princeton, NJ 08543 (US).

- (74) Agents: SHER, Audrey, F.; Bristol-Myers Squibb Company, P.O. Box 4000, Lawrenceville-Princeton Road, Princeton, NJ 08543-4000 et al. (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

### Published:

- with international search report
- (88) Date of publication of the international search report: 30 October 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



I Application No Pul/US 01/21204

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K38/17 A61K45/06 A61P37/04 A61P19/02

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC  $\frac{7}{6}$  A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, SEQUENCE SEARCH, CHEM ABS Data, MEDLINE, EMBASE,

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the	ne relavant passages	Relevant to claim No.
X	US 5 968 510 A (LEDBETTER JEFF AL) 19 October 1999 (1999-10-1 column 8, line 31 -column 11, column 34, line 4 - line 14 column 38, line 1 - line 25; 1	19) line 45	1,3,4, 26,32-34
X	US 5 844 095 A (LEDBETTER JEFF AL) 1 December 1998 (1998-12-0 column 4 -column 5 column 11, line 14 - line 45 examples 2,6; table 2 column 9, line 13 - line 30		1,3,4, 26,32-34
E	WO 01 92337 A (SQUIBB BRISTOL;BAJORATH JURGEN (US); LINSLEY (US) 6 December 2001 (2001-12-the whole document	PETER S -06)	1,3-38
X Furt	ner documents are listed in the continuation of box C.	Z Patent family members are listed	lin annex.
"A" docume consid "E" earlier of filing d "L" docume which citation "O" docume other r "P" docume	nt which may throw doubts on priority claim(s) or is cited to establish the publication date of another nor other special reason (as specified) entreferring to an oral disclosure, use, exhibition or	"T" later document published after the int or priority date and not in conflict with cited to understand the principle or the invention.  "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the de "Y" document of particular relevance; the cannot be considered to involve an indocument is combined with one or ments, such combination being obvicin the art.  "&" document member of the same patent	n the application but neory underlying the claimed invention to considered to pocument is taken alone claimed invention twentive step when the ore other such docupus to a person skilled
	actual completion of the international search	Date of mailing of the international se	
1	7 March 2003	1 6. 07.	2003
lame and n	nailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Bayrak, S	

In I Application No
PUITUS 01/21204

		PC1/03 01/21204
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	1000 months 111 m
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
1	MORTON P A ET AL: "Differential effects of CTLA-4 substitutions on the binding of human CD80 (B7-1) and CD86 (B7-2binding of human)" JOURNAL OF IMMUNOLOGY, THE WILLIAMS AND WILKINS CO. BALTIMORE, US, vol. 156, no. 3, 1 February 1996 (1996-02-01), pages 1047-1054, XP002098032 ISSN: 0022-1767 the whole document	1,3-38
	PEACH R J ET AL: "COMPLEMENTARITY DETERMINING REGION 1 (CDR1)- AND CDR3-ANALOGOUS REGIONS IN CTLA-4 AND CD28 DETERMINE THE BINDING TO B7-1" JOURNAL OF EXPERIMENTAL MEDICINE, TOKYO, JP, vol. 180, no. 6, 1 December 1994 (1994-12-01), pages 2049-2058, XP000199779 ISSN: 0022-1007 the whole document	1,3-38

tional application No. PCT/US 01/21204

# INTERNATIONAL SEARCH REPORT

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)	
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:	
Although claims 1,3-25, 32-33 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.	
2. X Claims Nos.: 1,3-38 (all partially) because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:	
see FURTHER INFORMATION sheet PCT/ISA/210	
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).	
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)	
This International Searching Authority found multiple inventions in this international application, as follows:	
see additional sheet	
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.	
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.	
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:	
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report Is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1, 3-38	
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.	

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1,3-38

Pharmaceutical composition or use of any soluble CTLA4 mutant molecule that binds a B7 molecule, for the therapy of rheumatic diseases, excluding invention 2:

- 1.1. Claim: 5
  Pharmaceutical composition or use of a soluble CTLA4
  mutant molecule that binds a B7 molecule (SEQ ID NO
  17) having a mutation at position 104, wherein leucine
  is substituted with any other amino acid, for the
  therapy of rheumatic diseases.
- 1.2. Claim: 6
  Pharmaceutical composition or use of a soluble CTLA4Ig mutant (1 through 357; SEQ ID NO 7) that binds a B7 molecule with a mutation at position 104, wherein leucine is substituted with glutamic acid, for the therapy of rheumatic diseases.
- 1.3. Claim: 7
  Pharmaceutical composition or use of a soluble CTLA4Ig mutant (-1 through 357) (SEQ ID NO 7) that binds a B7 molecule with a mutation at position 104, wherein leucine is substituted with glutamic acid, for the therapy of rheumatic diseases.
- 1.4. Claims: 8, 13,17-25,27-30,32-33,35-38 (all partially)

Pharmaceutical composition or use of soluble CTLA4 mutant molecules (SEQ ID NO 17) that bind a B7 molecule having a double mutations at positions: a) L104EA29X (claims 8, 13,17-25,27-30,32-33,35-38 (all partially)); b) L104EG105X (claims 8 partially); c) L104ES25 (claims 8 partially); d) L104ET30X (claims 8 partially), for the therapy of rheumatic diseases.

- 1.5. Claims: 9,11,14,28,36(all partially), and15, 29,37
  Pharmaceutical composition or use of a soluble CTLA4Ig
  mutant (1 through 357; SEQ ID NO 9) that binds a B7
  molecule with a mutation at positions 104 (leucine is
  substituted with glutamic acid), and 29 (alanine is
  substituted by tyrosine), for the therapy of rheumatic
  diseases.
- 1.6. Claim: 9 (partially)

Pharmaceutical composition or use of a soluble CTLA4Ig mutant (1 through 357; SEQ ID NO 11) that binds a B7 molecule with a mutation at positions104 (leucine is substituted with glutamic acid), and 29 (alanine is substituted by leucine), for the therapy of rheumatic diseases.

- 1.7. Claim: 9 (partially)
  Pharmaceutical composition or use of a soluble CTLA4Ig mutant (1 through 357; SEQ ID NO 13) that binds a B7 molecule with a mutation at positions 104 (leucine is substituted with glutamic acid), and 29 (alanine is substituted by threonine), for the therapy of rheumatic diseases.
- 1.8. Claim: 9 (partially)
  Pharmaceutical composition or use of a soluble CTLA4Ig mutant (1 through 357; SEQ ID NO 15) that binds a B7 molecule with a mutation at positions 104 (leucine is substituted with glutamic acid), and 29 (alanine is substituted by tryptophan), for the therapy of rheumatic diseases.
- 1.9. Claims: 10,16,30,38, and partially 11,14,28,36
  Pharmaceutical composition or use of a soluble CTLA4Ig
  mutant (-1 through 357; SEQ ID NO 9) that binds a B7
  molecule with a mutation at positions 104 (leucine is
  substituted with glutamic acid), and 29 (alanine is
  substituted by tyrosine), for the therapy of rheumatic
  diseases.
- 1.10. Claim: 10
  Pharmaceutical composition or use of a soluble CTLA4Ig mutant (-1 through 357; SEQ ID NO 11) that binds a B7 molecule with a mutation at positions 104 (leucine is substituted with glutamic acid), and 29 (alanine is substituted by leucine), for the therapy of rheumatic diseases.
- 1.11. Claim: 10

  Pharmaceutical composition or use of a soluble CTLA4Ig mutant (-1 through 357) (SEQ ID NO 13) that binds a B7 molecule with a mutation at positions 104 (leucine is substituted with glutamic acid), and 29 (alanine is substituted by threonine) for the therapy of rheumatic diseases.
- 1.12. Claim: 10

Pharmaceutical composition or use of a soluble CTLA4Ig mutant (-1 through 357; SEQ ID NO 15) that binds a B7 molecule with a mutation at positions 104 (leucine is substituted with glutamic acid), and 29 (alanine is substituted by tryptophan), for the therapy of rheumatic diseases.

- 1.13. Claim: 12
  Pharmaceutical composition or use of a soluble CTLA4
  mutant molecules (SEQ ID NO 17) that binds a B7
  molecule having a first mutation at position 104 (L to E), a second mutation at positions 29 (A to Y), and a third mutation at position 25 (any other amino acid), for the therapy of rheumatic diseases.
- 1.14. Claims: 13, and partially 17-25,27-30,32-33,35-38
  Pharmaceutical composition or use of a soluble CTLA4
  binds a B7mutant molecules (SEQ ID NO 17) that
  molecule having a mutation at position 104 (L to E),
  and a second mutation at positions 29 (A to Y) for the
  therapy of rheumatic diseases.
  - 2. Claims: 2,39

Pharmaceutical composition or use of any soluble CTLA4 mutant molecules that binds a B7 molecule further having an immuno suppressive agent, for the therapy of rheumatic diseases.

Please note that all inventions mentioned under item 1, although not necessarily linked by a common inventive concept, could be searched without effort justifying an additional fee.

Continuation of Box I.2

Claims Nos.: 1.3-38 (all partially)

- 1. Present claims 1,3-5,8,12,13,17-27,31-34 relate to an extremely large number of possible compounds, namely "soluble CTLA4 mutants", or "soluble CTLA4 mutant molecule comprises a mutation...". Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible.
- 2. Claims 1,3-16,18-38 relate to the use of a pharmaceutical preparation for prophylaxis or treatment of "rheumatic diseases", which encompasses a multitude of different diseases. The claims thus cover a rather large number of diseases, whereas the application provides support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT for only a very limited number of diseases. Consequently, the claims lack support and the application lacks disclosure. Independent of the above reasoning, the claims 1,3-16,18-38 also lack clarity because it is not fully possible to determine the diseases for which protection might legitimately be sought (Article 6 PCT).
- 3. Claim 18 is related to the prevention or treatment of diseases which are not clearly defined, namely conditions related to "alleviating a symptom associated with a rheumatic disease selected...". Due to the functional definition of the claimed subject-matter, the scope of protection of the claim 18 is obscure and not limited to the treatment of said specified conditions in the description and/or the claims but, by contrast, embraces an undefined number of other conditions allegedly capable of being improved or prevented by the administration of soluble CTLA4 mutants. Therefore, the claim 18 lacks support (Article 6 PCT) and the application lacks disclosure (Article 5 PCT). Independent of the above reasoning the terms such as "structural damage", "pain", and "elevated level of..." are vague and unclear and leave the reader in doubt as to the meaning of the technical feature to which they refer, thereby rendering the definition of the subject-matter of claim 18 unclear (Article 6 PCT).

Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the use of the specific mutants as mentioned in claims 6,7,9,10,14,15 in the treatment of rheumatoid arthritis; and with due regard to the general concept of the invention, i.e. CTLA4 molecules having mutations at positions 25,29,30,104,or 105, and which may be embedded within a sequence.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant

# International Application No. PCT/US 01/21204 FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210 is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

Int al Application No
PLI/US 01/21204

		- · · · · · · · · · · · · · · · · · · ·			3 01/21204
Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5968510	A	19-10-1999	US	5885796 A	23-03-1999
			US	5844095 A	01-12-1998
			US	6090914 A	18-07-2000
			US	5770197 A	23-06-1998
			US	5851795 A	22-12-1998
			US	5977318 A	02-11-1999
			US	5885579 A	23-03-1999
			AU	701310 B2	28-01-1999
		•	AU	1645895 A	26-10-1995
			CA	2146895 A1	16-10-1995
			EP	0682039 A1	15-11-1995
			FI	951801 A	16-10-1995
			JP	8047391 A	20-02-1996
			NO	951436 A	16-10-1995
			US	5773253 A	30-06-1998
			AU	682325 B2 5390194 A	02-10-1997 28-07-1994
			AU CA	2113744 A1	
			EP	0613944 A2	23-07-1994 07-09-1994
			FI	940270 A	23-07-1994
			ΪĹ	108374 A	29-02-2000
•			JP	7069914 A	14-03-1995
			NO	940228 A	25-07-1994
			AT	170562 T	15-09-1998
			ΑÜ	661854 B2	10-08-1995
			AU	2240092 A	25-01-1993
			CA	2110518 A1	07-01-1993
			DE	69226871 D1	08-10-1998
			DE	69226871 T2	12-05-1999
			DK	606217 T3	09-11-1998
			EP	0606217 A1	20-07-1994
			ES	2123001 T3	01-01-1999
			FI	935795 A	22-12-1993
			FI	20022285 A	30-12-2002
			IE JP	922111 A1 6508989 T	30-12-1992 13-10-1994
			JP	6508989 T 2002017349 A	13-10-1994 22-01-2002
			JP	2002003399 A	09-01-2002
			JP	2003012546 A	15-01-2003
			JP	2003096097 A	03-04-2003
			KR	238712 B1	15-01-2000
			MX	9203605 A1	01-11-1993
			NO	934801 A	21-02-1994
			NO	20020491 A	21-02-1994
			NZ	243286 A	26-03-1996
			NZ	264712 A	26-03-1996
			PT	100637 A ,B	31-05-1994
US 5844095	A	01-12-1998	US	6090914 A	18-07-2000
			US	5770197 A	23-06-1998
			US	5851795 A	22-12-1998
			US	5885796 A	23-03-1999
				02-11-1999	
			US	5968510 A	19-10-1999
			US	5885579 A	23-03-1999
		AU	A 1 1	(A) 1210 D2	28-01-1999
				701310 B2	
			AU CA	1645895 A 2146895 A1	26-10-1995 16-10-1995

Int ial Application No Full S 01/21204

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 5844095 A		EP	0682039 A1	15-11-1995
03 30 11033 X		FI	951801 A	16-10-1995
		JР	8047391 A	20-02-1996
		NO.	951436 A	16-10-1995
		US	5773253 A	30-06-1998
		AU	682325 B2	02-10-1997
		AU	5390194 A	28-07-1994
		CA	2113744 A1	23-07-1994
				07-09-1994
	•	EP FI	0613944 A2	23-07-1994
			940270 A	
		IL	108374 A	29-02-2000
		JP	7069914 A	14-03-1995
		NO	940228 A 170562 T	25-07-1994 15-09-1998
		AT		
		AU	661854 B2	10-08-1995
		AU	2240092 A	25-01-1993
		CA	2110518 A1	07-01-1993
		DE	69226871 D1	08-10-1998
		DE	69226871 T2	12-05-1999
		DK	606217 T3 0606217 A1	09-11-1998
		EP		20-07-1994
•	•	ES	2123001 T3	01-01-1999
		FI FI	935795 A	22-12-1993
		IE	20022285 A 922111 A1	30-12-2002 30-12-1992
		JP	6508989 T	13-10-1994
		JP	2002017349 A	22-01-2002
		JP	2002003399 A	09-01-2002
		JP	2003012546 A	15-01-2003
		JP	2003096097 A	03-04-2003
		KR	238712 B1	15-01-2000
		MX	9203605 A1	01-11-1993
		NO	934801 A	21-02-1994
		NO	20020491 A	21-02-1994
		NZ	243286 A	26-03-1996
		NZ	264712 A	26-03-1996
		PT	100637 A ,B	31-05-1994
WO 0192337 A	06-12-2001	AU	6346601 A	11-12-2001
		CA	2409748 A1	06-12-2001
		ΕP	1248802 A2	16-10-2002
		NO	20025656 A	25-11-2002
		WO	0192337 A2	. 06-12-2001
		US	2002182211 A1	05-12-2002